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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):   Matthew Conover

Assignee: Symantec Corporation

Title: HEAP BUFFER OVERFLOW EXPLOITATION PREVENTION SYSTEM AND METHOD

Serial No.:	10/796,358	Filed:	March 8, 2004
Examiner:	Unknown	Group Art Unit:	Unknown
Docket No.:	SYMC1057		

Monterey, CA
May 24, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each is enclosed) to the attention of the Examiner.

OTHER DOCUMENTS

1)	*"Advanced Doug Lea's Malloc Exploits"*, pp. 1-42 [online]. Retrieved February 12, 2004. Retrieved from the internet: URL:http://www.phrack.org/phrack/61/p61-0x06 Advanced malloc exploits.txt. Anonymous author.
2)	Kaempf, Michel, *"Vudo - An Object Superstitiously Believed To Embody Magical Powers"*, pp. 1-49 [online]. Retrieved February 12, 2004. Retrieved from the internet: URL:http://www.phrack.org/phrack/57/p57-0x08.

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3)	"*Once Upon A Free()...*", pp. 1-15 [online]. Retrieved February 12, 2004. Retrieved from the internet: URL:http://www.phrack.org/phrack/57/p57-0x09. Anonymous author.
4)	Conover, Matt, "*WOOWOO On Heap Overflows*", pp. 1-18 [online]. Retrieved February 9, 2004. Retrieved from the internet: URL:http://www.w00w00.org/files/heaptut/heaptut.txt.

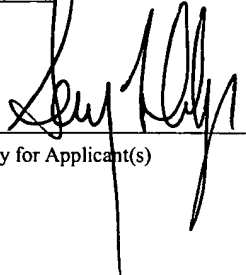A PTO form 1449 listing these documents is enclosed. Citation of the above documents shall not be construed as:

1.   an admission that the documents are necessarily prior art with respect to the instant invention;

2.   a representation that a search has been made, other than as described above; or

3.   an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.
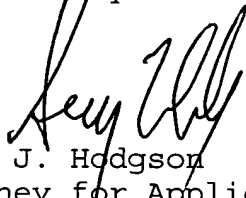
Respectfully submitted,

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Form PTO-1449	Atty Docket No.	Serial No.
	SYMC1057	10/796,358

INFORMATION DISCLOSURE CITATION

IN AN APPLICATION

Applicant(s)
Matthew Conover

Filing Date	Group
March 8, 2004	Unknown

(Use several sheets if necessary)

(stamp: OIPE JC160 MAY 27 2004 PATENT & TRADEMARK OFFICE)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
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	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

	AR	"*Advanced Doug Lea's Malloc Exploits*", pp. 1-42 [online]. Retrieved February 12, 2004. Retrieved from the internet: URL:http://www.phrack.org/phrack/61/p61-0x06_Advanced_malloc_exploits.txt. Anonymous author.
	AS	Kaempf, Michel, "*Vudo – An Object Superstitiously Believed To Embody Magical Powers*", pp. 1-49 [online]. Retrieved February 12, 2004. Retrieved from the internet: URL:http://www.phrack.org/phrack/57/p57-0x08.
	AT	"*Once Upon A Free()...*", pp. 1-15 [online]. Retrieved February 12, 2004. Retrieved from the internet: URL:http://www.phrack.org/phrack/57/p57-0x09. Anonymous author.

Examiner	Date Considered

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